









## HKVCA ESG Brownbag Luncheon Talk - 27 June, 2017

## Going IPO? ESG practice matters in Hong Kong listing!

## Speakers' bio

Alvin Lam, Senior Managing Director, CVC Capital Partners



Mr. Alvin Lam is a Senior Managing Director with CVC Capital Partners. Alvin joined CVC in 2005 and is a member of the CVC's Asia Operations Team, based in Hong Kong. Prior to joining CVC, Alvin was a Principal with the Boston Consulting Group's Greater China practice and worked for Philip Morris International in a regional business development role. Alvin holds a BSc Degree from the Massachusetts Institute of Technology, an MBA from the Wharton School and an MA in International Studies from the University of Pennsylvania.



**Brian Ho**, China Sustainability Leader, Climate Change and Sustainability Services, Ernst & Young

Brian Ho is the Greater China Sustainability Leader of Climate Change and Sustainability Services at EY. He has 14 years of extensive experience in corporate social responsibility (CSR) and sustainability, and provides strategic consulting services to corporations, governmental agencies and non-profit organizations. He is also a guest researcher at the Cheung Kong Graduate School of Business and Sun Yat-sen University, and a guest lecturer at the Chinese University of Hong Kong. Prior to joining EY, Brian was the China Director of an international agency promoting CSR in the Asia-Pacific region. He received his Bachelor of Social Sciences degree from the City University of Hong Kong and a Master of Social Sciences degree from the Chinese University of Hong Kong.



Hauman Yeung, Director, Ascent Partners Advisory Service

Mr Yeung has been involved in the environmental movement in Hong Kong since 1988. He was the Executive Director of Green Power. He managed several environmental projects funded by UNEP from 1993 to 1995. He started an eco-village with the South China Agricultural University in 1995. He worked in a private equity investment company, LESS Limited, that invested in Environmental projects in China from 2004-2008. During his working in the private equity fund, he helped to set up the whole investment criteria and standard, and also responsible for deal sourcing, due-diligent, closing deals and ongoing monitoring to improve the standard of the portfolio companies. He is now heading the ESG reporting team in Ascent Partners Group Limited.



Jamie Allen, Secretary General, Asia Corporate Governance Association

Mr. Allen is the founding Secretary General of the Asian Corporate Governance Association (ACGA). He is a published author and has more than 29 years' experience as a writer, editor and analyst covering Asian business, finance and economics from Hong Kong.

Prior to the establishment of ACGA in mid-1999, Mr. Allen acted as a consultant for multinational companies, carrying out customised research on mainly finance-related topics, including corporate governance. During 1992-95, he worked for the Economist Intelligence Unit (EIU) as editor of Business Asia, a regional management newsletter, and was a contributor to The Economist magazine during 1994-96. Mr. Allen began his career in the late 1980s as a reporter for the South China Morning Post in Hong Kong.



Mr. Allen is a graduate of the Australian National University, Canberra, where he received a BA (Honours) in political science and Chinese language. He furthered his Chinese studies during a two-year period as a student in Taiwan in the early 1980s.

From 2006 to 2010, Mr. Allen was appointed to the Listing Committee of the Stock Exchange of Hong Kong. From 2001 to 2007, he served on a new advisory committee, the Public Shareholders' Group, formed by the Hong Kong Securities and Futures Commission.

In July 2013, Mr. Allen was appointed to the Financial Reporting Review Panel of the Financial Reporting Council (FRC) for three years. Later that year he was also appointed to the Operations Oversight Committee of the FRC.



Jeanne Ng, BSc, PhD, Director, Group Sustainability, CLP Power Hong Kong

Dr. Ng is responsible for CLP Group's sustainability matters, including sustainability-related strategy, reporting and communications. She joined CLP upon the establishment of the Group Environmental Affairs department in 2003 and was previously Director - Group Environmental Affairs responsible for the development and roll-out of corporate environmental policy and strategy across the CLP Group.

Dr. Ng holds a BSc in Toxicology from the University of Toronto and a PhD in Environmental Management from the University of Hong Kong. She has extensive experience in the environmental industry and has held senior roles in international environmental / engineering consulting companies prior to joining CLP. She was involved in most of the early Hong Kong governmental air pollution and climate strategy and policy studies and is regarded as one of Hong Kong's experts in air and greenhouse gas emissions inventories.

Dr. Ng is currently a Board member of a number of organisations including the Julie Ann Wrigley Global Institute of Sustainability at Arizona State University, the International Emissions Trading Association (IETA) and the Hong Kong Institute of Qualified Environmental Professionals (HKIQEP). She is also a Stakeholder Council Member of the Global Reporting Initiative (GRI) and sits on a number of advisory committees including the Environment and Sustainability Committee of the Hong Kong General Chamber of Commerce, Climate Change Business Forum and Environmental, Social and Governance Advisory Groups of the Business Environment Council.

Dr. Ng is also a frequent guest lecturer on environmental and sustainable development issues at various Hong Kong universities and is currently a Member of the Advisory Committee of the School of Energy & Environment at City University of Hong Kong, the Advisory Committee on Environmental Science at the Chinese University of Hong Kong and the Local Advisory Committee of the Division of Environment at Hong Kong University of Science and Technology



Katherine Ng, Head of Policy, Listing Department, Hong Kong Exchanges & Clearing

Ms. Katherine Ng joined Hong Kong Exchanges and Clearing Limited ("HKEX") in July 2013 as Head of Policy Team of the Listing Department. Prior to joining HKEX, Ms. Ng served as Political Assistant to the Secretary for Financial Services and the Treasury of the Hong Kong Government. Before joining the Government, Ms. Ng had worked in an international investment bank and was in private practice with an international law firm in Hong Kong and London. Ms. Ng holds a Master of Arts in Law from the University of Cambridge and is a qualified lawyer in both Hong Kong and England & Wales. Ms. Ng is an Advisor to Our Hong Kong Foundation, a director of Hong Kong Securities and Investment Institute and Charitable Choice Limited, a Trustee of the World Wide Fund for Nature Hong Kong and a fellow of the Aspen Institute.