

## Seminar - November 18, 2019

### **Wilson Lo – Senior Director, Licensing, Intermediaries, SFC**

As the Head of the Licensing Department of Intermediaries Division, Wilson is responsible for managing gate-keeping functions of the securities and futures industry and leading various policy initiatives relating to intermediaries such as licensing strategic reform and Manager-In-Charge Regime. He holds a Bachelor's degree in Accountancy and a Master degree in Applied Finance. He is also a qualified accountant in Hong Kong and Australia.

### **Eric Ho – Director, Licensing, Intermediaries, SFC**

Eric is the Director of Licensing in charge of the Asset Management Group. Prior to joining the SFC, he worked at the Hong Kong Monetary Authority to perform banking policy and banking supervision duties. He holds a BBA degree and a MSc degree, both with concentration in Finance, and is a member of the American Institute of CPAs.

### **Adam Lo – Senior Manager, Licensing, Intermediaries, SFC**

Adam joined the SFC for a decade and is currently a Senior Manager of the Licensing Department under the Asset Management Group. He is a qualified accountant in Hong Kong and the UK, and holds a Bachelor's degree in Law.