HKVCA ESG Brownbag Luncheon Talk - June 3, 2019





Steven R. Okun, CEO, APAC Advisors & ASEAN Representative, EMPEA

Founder and CEO of APAC Advisors, Steven Okun is a leader in the private equity industry on ESG, sustainability and stakeholder engagement in Asia, having lived and worked in the region since 2003.

In September 2017, EMPEA appointed Steven Okun as its ASEAN Representative, where he works with the members to increase private capital deployment and fundraising across Southeast Asia. In addition, he serves as a member of EMPEA's global ESG Community.

EMPEA is the global industry association for private capital in emerging markets. An independent, non-profit organization, the association's membership comprises 300+ firms representing institutional investors, fund managers and industry advisors who together manage more than US\$5 trillion in assets across 130 countries.

As global investment firm KKR's first Director of Asia Public Affairs from 2011-2017, his responsibilities encompassed government affairs, communications, stakeholder engagement, ESG and impact investing and supporting such efforts for its portfolio companies.

His work on ESG initiatives spans due diligence through the investment phase. His expertise includes developing ESG policies, determining critical considerations for specific deals taking into consideration market, sector and level of investment, engaging directly in due diligence, and working with investment teams to document the findings of due diligence and develop reports for a fund's investors.

Previously, Steven led UPS Asia's public affairs practice, and served in the Clinton Administration as Deputy General Counsel at the US Department of Transportation.

Often representing and advocating the views of the business community, Steven often guest hosts on CNBC and frequently appears on Channel NewsAsia.

He received his B.A. from the University of Virginia and his J.D. from the University of Virginia School of Law.



Jie Gong, Partner, Pantheon Ventures

Jie Gong is a Partner at Pantheon, and a member of the firm's Asia Regional Investment Committee and the Global Co-investment Committee. Jie joined Pantheon from Morgan Stanley Alternative Investment Partners' private equity fund of funds group, where she led Asian investment activity and was a member of the Global Investment Committee. Before that she was a Vice President at JPMorgan responsible for leverage finance deal origination, structuring and execution in Greater China. Previously she worked at the International Finance Corporation on emerging market debt and equity investments. Jie received a Masters in Finance from London Business School, an MA in Economics from University of Miami and a BA in Economics and International Trade from Shanghai International Studies University. Jie is Vice Chairman of the Hong Kong Venture Capital and Private Equity Association (HKVCA) and serves on its board. She founded and chairs HKVCA's ESG committee, and co-chairs its LP committee. She is also a committee member of United Nation Principle of Responsible Investment Private Equity Advisory Committee (UNPRI PEAC).



Pat-Nie Woo, Partner, Business Reporting and Sustainability, KPMG

Pat is a Partner of Business Reporting and Sustainability at KPMG who oversees Corporate Social Responsibility as well as being a core member of the KPMG China Steering Committee on Climate Change & Sustainability ("CC&S"). He is also a member of the KPMG Global CC&S network.

He has been active for over 10 years in the field of sustainable development. In his previous capacity as a director at Central Textiles, through his leadership Central Textiles was the first textile company in Asia to issue a Sustainability Report under the Global Reporting Initiatives ("GRI") Guidelines in 2008. The report subsequently received an award from the Association of Chartered Certified Accountants ("ACCA").

Through his initiatives Central Textiles subsequently won the Gold Award for the Hang Seng Pearl River Delta Environmental Award in 2012 and the Hong Kong Award for Environmental Excellence ("HKAEE") – Manufacturing Sector in 2013. He also helped KPMG win the HKAEE Silver Award – Service and Trading Sector in 2017.

For his contribution to the textile industry with regards to sustainability, Pat received the Young Industrialist of the Year award from the Federation of Hong Kong Industries in 2009.



Alvin Lam, Principal, CVC Capital Partners

Mr. Alvin Lam is a Principal with CVC Capital Partners. Alvin joined CVC in 2005 and is a member of the CVC's Asia Operations Team, based in Hong Kong. Prior to joining CVC, Alvin was a Principal with the Boston Consulting Group's Greater China practice and worked for Philip Morris International in a regional business development role. Alvin holds a BSc Degree from the Massachusetts Institute of Technology, an MBA from the Wharton School and an MA in International Studies from the University of Pennsylvania.



Dequan Wang, CEO, Governance Solutions

Dr. Dequan Wang is the founder and CEO of Governance Solutions China. Trained as a lawyer, Mr. Wang is licensed to practice law in China and the State of New York. Before founding GSC in 2017, he was the Greater China Managing Partner for international law firm Simmons and Simmons, and before that he was a partner at the law firm of King and Wood. Dr. Wang holds a bachelor degree from Beijing University and a master degree from Harvard Law School, and he earned his SJD on corporate governance and securities regulation from Stanford University. Dr. Wang is a founding council member of the China Finance 40 Forum. (中国金融 40 人论坛)



Elaine Ng, Executive Director, Client Coverage, MSCI ESG Research

Elaine Ng, CFA joined the MSCI ESG Research team in Sept 2015 as Executive Director, Client Coverage for Asia. Based in Hong Kong, she is responsible for promoting MSCI's ESG Research suite of investment solutions to clients in the region.

Elaine was formerly a member of MSCI's Risk Analytics Consulting team since joining in June 2011. She has over 17 years of experience in the financial sector and has spent 14 years specializing in investment analytics. In her previous role, she was responsible for the retention of the risk management analytics book of clients across Asia. Over the span of her career, she has been involved in product development and delivery of analytical solutions to institutional clients, investment managers and hedge funds.

Elaine has a Bachelor of Engineering (Chemical) from National University of Singapore and a Masters of Applied Finance from Singapore Management University. She is also a CFA Charterholder.